MODULE	DESCRIPTION	DELEGATES/ ATTENDEES	LEARNING OBJECTIVES	SPONSOR	EXAM/ TESTING	FACILITATOR/ SME	DELIVERY METHOD	COURSE EVALUATION METHOD	PRIORITY
RISK IDENTIFICATION & RISK MANAGEMENT	Understanding what a risk is Understanding the link between risks and service and corporate plan objectives Environmental scanning Root cause analysis Measuring impact & likelihood Managing and mitigating the risk Completing a risk assessment Positive Risk Opportunities Emergent Risks Contingency Planning Producing a risk register Escalating risk concerns	Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service	Knowledge and understanding of risk management principles and concepts Creation of a risk-aware mind-set Completion of a risk assessment Completion of a risk register Achieving planned targets & objectives (incl.service plans) Informed and efficient allocation of resources Understanding and articulating risk appetite Compliance with the general local authority statutory duty to manage risk effectively	Francis Fernandes	Yes	PWC	45 min Management Session	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	VERY HIGH
PREPARING A BUSINESS CASE	A step-by-step guide to developing a systematic and objective approach to creating business cases, leading to more informed decision-making and to securing better value for money in the public sector and incorporating a multiple choice closed book exam lasting 40 minutes	Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service	Through reference to the Five Case Model, HM Treasury's 'Green Book' and a range of exercises and case studies, you will understand how to address the following key questions: Is there a compelling case for change? Does the preferred investment option optimise value for money? Is the proposed deal commercially viable? What are the key non-financial considerations? Is the spending proposal affordable? How can the proposal be delivered successfully? Declaration of Interests	Cathie Wright	Yes	TBC	1/2 Day Seminar	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	VERY HIGH
DUE DILIGENCE (Legal Dimension) - LEGAL LEAD		Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service	What is due diligence and why conduct one? Due diligence on partners/companies/individuals with whom the council is engaging with What is the framework of joint venture & partnership working? What could go wrong in joint ventures and partnership working if due diligence is not carried out effectively Adherence to NBC governance protocols. The general power of compliance and State Aid What should be the scope of the due diligence work and how to determine whether the right level of work has been done? How should financial due diligence be conducted and by whom? How can potential risks be identified and the appropriate action then taken How can the strengths and weaknesses of potential partners be assessed for suitability and strategic fit? The different frameworks for effective joint venture and partnership working Practical illustration using case studies and templates for your future use Use of checklists, incl.financial checklists Questions and answers	Francis Fernandes	Yes	Needs to be a Legal and Finance Input	1/2 Day Seminar	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	VERY HIGH
DUE DILIGENCE (Finance Dimension)	how to conduct a solid and reliable due	Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service	What is due diligence and why conduct one? Due diligence on partners/companies/individuals with whom the council is engaging with What is the framework of joint venture & partnership working? What could go wrong in joint ventures and partnership working if due diligence is not carried out effectively Adherence to NBC governance protocols. The general power of compliance and State Aid What should be the scope of the due diligence work and how to determine whether the right level of work has been done? How should financial due diligence be conducted and by whom? How can potential risks be identified and the appropriate action then taken How can the strengths and weaknesses of potential partners be assessed for suitability and strategic fit? The different frameworks for effective joint venture and partnership working Practical illustration using case studies and templates for your future use Use of checklists, incl.financial checklists Questions and answers	Francis Fernandes	Yes		1/2 Day Seminar	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	VERY HIGH

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CODE OF GOVERNANCE & WHISTLEBLOWING	Outlines the framework of corporate governance at NBC, including the related statutory duties, behaviours, values and processes which NBC as a local authority and its officers are expected to uphold and adhere to in the performance of their duties Sets out NBC's arrangements for Whistleblowing further to the Public Disclosure Act 1998 and specifically: What is whistleblowing How to report a serious concern at work Why do we need a whistleblowing policy What is covered by the policy Confidentiality Support for officers raising a concern and others affected by the raising of a concern Safeguards against harassment or victimisation	Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service	Overall and principally to give NBC senior officers an understanding and awareness to drive an effective governance framework in which to work and also which they are expected to uphold, with specific reference to the 2016 CIPFA/SOLACE Delivering Good Governance in Local Government Framework With specific regard to whistleblowing: Officers feel confident and encouraged through Whistleblowing in raising any serious genuine concerns Officers are aware of the Whistleblowing avenues to be taken to raise any concerns and also to receive feedback on any action taken; Officers are encouraged to raise serious concerns within the Council rather than overlooking a problem or taking it outside of the organisation. Officers feel reassured in the strongest of terms that they will be protected from possible reprisals or victimisation. Officers are aware of how to pursue concerns if they are not satisfied with the Whistleblowing action taken	Francis Fernandes	Yes	CIPFA	Managers' Session	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	VERY HIGH
MAJOR PROJECT & PROGRAMME MANAGEMENT	Designed to give NBC officers an understanding of skills necessary to	Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service	Understand what it means to be a Major Project Leader where the role is positioned as being the CEO of a temporary organisation and the implications that arise from this for individuals, their teams and the Major Project organisation. Develop the necessary technical understanding of major projects by looking at the conventional approaches to project and programme management and reassessing and examining their applicability to the Major Project environment. This will include developing an understanding of organisation design theory and practice To build the commercial awareness of major project leaders	Steven Hing	Yes	Stephen Hing	1/2 Day Seminar	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	нісн
COMPETITIVE TENDERING	Gives officers a thorough understanding of the EU Directive (2014) and the UK Regulations (2015) and how to comply with the Regulations and gain advantage from them in the context of significant and material competitive tendering exercises entered into by local authorities		Understanding and complying with the changes required under the new Public Contracts Regulations 2015 Understanding and complying with the New OJEU Proforma Implementing the new selection and award process further to the Public Contracts Regulations 2015 and covering:	Francis Fernandes	Yes	TBC	1/2 Day Seminar	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	RED
DECLARATION OF INTERESTS (LEGAL LEAD)		Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service	What is an interest Why do interests need to be declared Standards of conduct in the public sector The Nolan Committee and the 7 principles of public life What interests should be declared by you What do I do if I have an interest Identifying a conflict of interest The interests relating to family and friends Financial and non-financial interests Actual and perceived interests Consequences of not making a proper declaration When to make a declaration How to complete the Council's Declaration of Interest Form Your NBC responsibilities	Francis Fernandes	Yes	External organisation - Standards Board - contact Paul Hoey/ Marianne McCarthy - TBC need to ensure individuals are good trainers	Managers' Session	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	RED

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FIDUCIARY DUTIES - LEGAL LEAD	Outlines the legal requirement of all NBC staff to exercise the highest standards of trust and care in acting on behalf of NBC, particularly in regard to the stewardship of LBC finances and assets that may be placed under his/her control Outlines the legal requirement to act only in the interests of NBC and not to seek to profit personally financially or otherwise from any transaction entered into by the Council	Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service	To instil awareness and understanding in all NBC staff of the binding legal requirement to conduct and regulate the affairs of the Council in a business-like manner and specifically at all times to: Exercise prudent use of NBC resources Have due and alert regard to the interest of CT and NNDR rate payers Have awareness of the financial consequences of any proposal by NBC as it affects CT and NNDR rate payers Exercise financial prudence in both the short and long term Exercise reasonable care, skill and caution in relation to their responsibilities Strike a fair balance between the interests of CT and NNDR payers and the community's interest in adequate and efficient services Act in good faith in exercising statutory powers with a view at all times to complying with statutory duties	Francis Fernandes	Yes	Both Legal & Finance input	Management Board Session	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	RED
RECORDING DECISIONS & MAINTENANCE OF RECORDS & DELEGATED DECISION- MAKING	Explains the important need for NBC officers to properly record and document the decisions they make on behalf of the Council and outlines the importance of managing records for local government accountability and the value of seeing records as a strategic resource Sets out the powers delegated to officers to make decisions on behalf of the Council and how these decisions are documented and recorded.	Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service	When you have completed this module, you will be able to * Explain the purpose and need for proper records management * Understand why adequate supporting documentation should be maintained * Understand the Written Scheme of Delegation * Understand decisions delegated to the:-	Francis Fernandes	Yes	Democratic Services/ Marianne McCarthy	1/2 Day Seminar	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	RED
GENERAL DATA PROTECTION REGULATIONS (Incl. Data Protection Act 1998)	1 ' ''	Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service		Francis Fernandes	Yes	David Taylor	30 min Managers' Session	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	RED
OVERVIEW OF FINANCE & BUDGET MANAGEMENT	Overview of the NBC finance function including the key areas of financial and budget management and the challenge of closing NBC's £6M medium-term budget deficit	, , , , , , , , , ,	To build officer skill-sets and competencies in financial management and specifically in the following areas:- Overview of local government finance Understanding Financial Standing Orders Ensuring you comply with Financial Standing Orders How to purchase properly on Agresso Recording expenditure commitments The distinction between Capital and Revenue accounting Budget-holder responsibilities NBC Financial Rules and Regulations Internal & External Audit Management responsibility for internal control Purchasing and procurement Efficiencies Closing the £6M NBC medium-term budget gap Budget-setting and monitoring Virement, supplementary estimates and reserves Capital appraisal schemes Final accounts	Glenn Hammons	Yes	Paul Hymers	1/2 Day Seminar	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	AMBER

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PRE-CABINET PROCESS, REPORT WRITING & EXEMPT AND CONFIDENTIAL INFORMATION	the Cabinet make decisions on behalf of the Council and the role of NBC officers in this process • Provides officers with the tools required to write in an appropriate style for council reporting • Explains the characteristics and	Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service (those that reprts to Hds of service & anyone who writes Cabinet reports)		David Kennedy/ Cathie Wright	Yes	Emma Povey/Sean McNamee/Cathie Wright	1/2 Day Seminar	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	AMBER
ENTERPRISE & COMMERCIALISATION	to understand how the commercial drivers for NBC now demand a far	Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service	Understanding the concept of a public service in a commercial environment Understanding the markets the public sector operates in, the customers it serves and the nature of its competitive advantages Understanding local government trading powers – what can and can not be done to trade, earn income and expand our business Contract Management Applying an entrepreneurial but systematic approach to identifying and exploiting realistic commercial opportunities Knowing what the competition looks like and how they view you How to maximise the return on different service delivery models whilst at the same time minimising the business risks Workforce implications – equipping and enabling a dynamic and responsive workforce and capacity to deliver	Glenn Hammons/Paul Hymers	Yes	CIPFA /OTHER COMPANIES	1/2 Day Seminar	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	AMBER
CREDIT RISK	Enables participants to understand in common-sense terms the key concepts of credit risk from the perspective of the lender or the borrower of funds and how this risk can be effectively managed and reduced	Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service	The role of the UK Muncipal Bonds Agency & PWLB Property and investment risk Analyse the levels of credit risk given the counterparties to which an organisation may be exposed to Spot and avoid bad credit risk counterparties Understand the concept and challenges facing credit risk management What you need to do to effectively deal with the challenges How to define the probability of a default in a counterparty Definitions of default, failure to pay and other events The definition and the use of credit ratings How to use basic measures of risk like value-at-risk (VAR)	Francis Fernandes	Yes (Approx. 10 Questions)	TBC - Brown Jacobson thouigh knowledgable may not be good trainers	1 hr Management Board Presention (incl.Hds of Service)	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	AMBER
CORPORTATE VISION, MISSION, PLANNING & OBJECTIVE SETTING	vision, mission statement, planning and	Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service	The "Vision Thing" Where are we/where do we want togo /how do we get there Undertaking an internal and external appraisal Making strategic choices (suitability/feasibility/acceptability) Stakeholders Articulating organisational mission Organisational culture and values Identifying goals and priorities Setting strategic objectives Making objectives SMART Pls and KPls Monitoring and measuring progress against objectives Post-implementation review and lessons learned	David Kennedy	Yes	TBC	1/2 Day Seminar	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	AMBER

		DELEGATES/		CDONCOD	EXAM/	FACILITATOR/	DELIVERY	COURSE	
MODULE	DESCRIPTION	ATTENDEES	LEARNING OBJECTIVES	SPONSOR	TESTING	SME	METHOD	EVALUATION	PRIORITY
EQUALITIES & HUMAN RIGHTS & THE EMPLOEE CODE OF CONDUCT - LEGAL LEAD	employees NBC are expected to uphold in the performance of their duties.	Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service	Understanding of NBC's general and specific public sector equality duties (PSED) When and how to complete an EIA & CIA Identification of the nine protected characteristics and seven types of discrimination Knowledge and understanding to manage employees and others to promote equality, discrimination and foster good relationships in diverse groups To provide clear guidance to all employees of NBC on: General standards of conduct and behaviour The core values of the Council Beneficial interests The relationship of the Code of Conduct to the Disciplinary Code Declaring financial and non-financial Interests Sponsorship Relationships & interests with applicants for employment Relationships with colleagues, managers, councillors	Francis Fernandes	Yes	TBC	1/2 Day Seminar	• Attendee feedback and evaluation • Sponsor feedback and evaluation • Internal/external assessment	AMBER
			* Relationships with contractors, Press and the Public * Confidentiality to protect the Council's & clients' interests. * Care of money and property.						
TRAIN THE TRAINER	assist and guide their team to their stated targets and beyond. Training, coaching and mentoring are all vital components in the make-up of a good	Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service , Service- Managers	Characteristics of the effective trainer The pitfalls of poor training delivery and how to avoid them Effective communication How to 'train' and not just 'tell' What a robust training program looks like including needs analysis and evaluation. Use of visual and interactive tools and other training aids	Cathie Wright	Yes	TBC	1/2 Day Seminar	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	GREEN
PEOPLE & PERFORMANCE MANAGEMENT	responsibility for managing and/or leading or influencing others, either directly or indirectly, and to develop your	Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service	Appreciate what skills are required for managing staff and then taking action to improve team-based delivery of your service by reference to:	Cathie Wright	Yes	TBC	1/2 Day Seminar	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	GREEN
MEMBERS & OFFICERS	local government	Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service	Understanding of the principles behind effective member/officer relations The importance of this relationship to the citizens they both serve Distinguishing between the political role of members and the professional and impartial role of officers Promoting a spirit of partnership between Members and officers to turn NBC core values and priorities into practical policies for implementation The duty of officers (particularly statutory officers) to provide advice to Members What to do when things go wrong.	Francis Fernandes	Yes	FF Contact at Kettering Council	1/2 Day Seminar	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	GREEN

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CORPORATE HEALTH AND SAFETY TRAINING - IOSH Managing Safely	Provides an overview of Managers' responsibilities for H&S	Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service	Managers may see health and safety as an add-on to their role, even an intrusion. This training will confirm the importance of being made accountable and responsible for themselves and their teams Definition and demystifying 'risk' and 'risk assessment'. Risk assessments and a simple scoring system are introduced, managers will conduct a series of risk assessments. Cuts down on risk and focuses on the best techniques to control key risks, and how to choose the right method. Raises awareness and demands of the law and how the legal system works, and introduces what is a health and system management system. Operational arrangements and issues are covered - to include entrances and exits, work traffic, fire, chemicals, electricity, physical and verbal abuse, bullying, stress, noise, housekeeping and the working environment. To determine why accidents should be investigated, why things go wrong, and how to carry out an investigation when they do. Importance of checking performance help improve health and safety. Development of basic performance indicators and understanding auditing and proactive and reactive measuring. Introduction to waste and pollution and shows leads to how organisations and individual managers can get involved in cutting down environmental impacts.	Julian WILKS	Yes	TBC	1/2 Day Seminar	Attendee feedback and evaluation Sponsor feedback and evaluation Internal/external assessment	GREEN
INSURANCE	professionals of the basic principles of insurance as a means of managing	Directors, Statutory Officers, Divisional Directors, Hds of Service	Fundamental risk and insurance principles How the insurance market operates The key insurance disciplines of underwriting and claims for the public sector Indemnities including for commercial buildings, professional and motor insurances Insurance providers to the public sector	Francis Fernandes	Yes	TBC	1/2 Day Seminar		GREEN
BUSINESS CONTINUITY & EMERGENCY PLANNING	Provides an overview of NBC managers' responsibilities for business continuity and emergency planning	Chief Officers, Directors, Statutory Officers, Divisional Directors, Hds of Service	To enable NBC managers to be aware of and to discharge their responsibilities in relation to business continuity and emergency planning and specifically in the following areas:- Business Continuity basics Business Impact Analysis Developing Strategies and Plans Testing and Exercising Awareness of templates Policies, procedures, codes of practice and guidelines in relation to emergency response and recovery Multi-agency Plans Roles and responsibilities NBC and partner organisations involved in response and recovery The inter-relationships between different agencies during an incident	Francis Fernandes	Yes	TBC	1/2 Day Seminar		GREEN